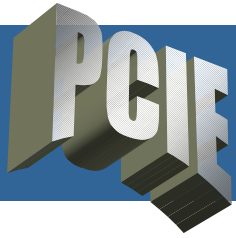


Federal Inspector General Community and President's Council on Integrity and Efficiency



President's Council on Integrity and Efficiency



Gaston L. Gianni, Jr.
Vice Chair, PCIE



Overview



- Offices of Inspector General (OIG)
- President's Council on Integrity and Efficiency (PCIE)
- Strategic Plan
- Questions



Offices of Inspector General



- Establishment of Inspectors General
 - ▼ Health and Human Services (HHS) IG established - 1976
 - ▼ IG Act of 1978 established IGs in 12 Federal agencies
 - ▼ IG Act Amendments of 1988 established IGs at designated Federal entities
 - ▼ Today there are 57 OIGs providing oversight to 59 agencies



Offices of Inspector General



■ Responsibilities Under the IG Act

- ▼ Conduct independent and objective audits, investigations, and inspections
- ▼ Prevent and detect waste, fraud, and abuse
- ▼ Promote economy, effectiveness, and efficiency
- ▼ Review pending legislation and regulation
- ▼ Keep agency head and Congress fully and currently informed



Offices of Inspector General



■ Appointment and Removal

- ▼ President appoints IGs at Cabinet Level department and major agencies
- ▼ IGs are appointed without regard to political affiliation
- ▼ Appointment based on integrity and ability in:
 - accounting, auditing, financial analysis
 - law, management analysis, public administration
 - investigations



Offices of Inspector General



- Appointment and Removal (continued)
 - ▼ Senate confirmation required of Presidential appointed IGs
 - ▼ Presidential appointed IGs can only be removed by the President
 - ▼ Agency heads appoint and remove IGs at designated federal entities and corporations
 - ▼ The Senate and House of Representatives must be notified if an IG is removed



Offices of Inspector General



■ Independence and Oversight

▼ IGs are authorized to:

- have direct access to all records and information of the agency
- have ready access to the agency head
- conduct such investigations and issue such reports as appropriate
- issue subpoenas for information and documents outside the agency
- administer oaths for testimony
- hire and control their own staff and contract resources



Offices of Inspector General



- Independence and Oversight (continued)
 - ▼ OIGs headed by Presidentially appointed IGs must have a separate appropriations account
 - ▼ IGs serve under “general supervision” of the agency head
 - ▼ IGs have dual reporting responsibility to the agency head and to the Congress
 - ▼ Allegations of misconduct against an IG are referred to the Integrity Committee



Offices of Inspector General



■ Reporting

- ▼ Audit, investigation, and evaluation reports are prepared in accordance with professional standards
- ▼ Semiannual reports are issued to Congress
- ▼ 7-day letters are used to report egregious and flagrant problems and abuses to the agency head, and thereafter to the Congress within 7 days



Offices of Inspector General



■ Reporting (continued)

- ▼ Unreasonable refusal to provide information to the OIG within the agency is reported to the agency head and Congress
- ▼ Suspected violations of federal criminal law are reported to the Attorney General
- ▼ Strategic and annual operating plans (Government Performance and Results Act)



Offices of Inspector General



- Standards Governing IG Activity
 - ▼ Quality Standards for Federal OIGs
 - ▼ Government Audit Standards (Yellow Book)
 - ▼ Quality Standards for Investigations
 - ▼ Quality Standards for Inspections
 - ▼ Guide for Conducting External Quality Control Reviews (audit operations)



President's Council on Integrity and Efficiency (PCIE)



■ Establishment of PCIE

- ▼ The PCIE was established by Executive Order in March 1981
- ▼ Membership includes Presidentially appointed Inspectors General, Office of Management and Budget, Office of Government Ethics, Office of Special Counsel, Federal Bureau of Investigation, and Office of Personnel Management
- ▼ A similar organization exists for non-presidentially appointed IGs





President's Council on Integrity and Efficiency



■ Mission

- ▼ To coordinate and enhance governmental efforts to promote integrity and efficiency and to detect and prevent fraud, waste, and abuse in federal programs
- ▼ Increase the professionalism and effectiveness of IG personnel throughout the federal government



President's Council on Integrity and Efficiency



■ Organization

- ▼ Chair from OMB and Vice Chair appointed from member IGs
- ▼ Six standing committees and members
 - Audit Committee
 - Inspection and Evaluation Committee
 - Investigations Committee
 - Legislation Committee
 - Professional Development Committee
 - Integrity Committee



President's Council on Integrity and Efficiency



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- Fiscal Year 1999 Accomplishments
(July 2000 Annual Report to the President)
 - ▼ Nearly \$10.5 billion in recommendations for more efficient use of funds
 - ▼ More than \$8.2 billion in potential savings
 - ▼ Over 13,000 criminal prosecutions
 - ▼ Nearly 6,700 barred from doing business
 - ▼ Over 1,200 civil actions



President's Council on Integrity and Efficiency



Audit Committee

- ▼ **Purpose** - Take a leadership role in improving audit quality, coordinate government-wide audits, and enhance the audit professionalism
- ▼ **Products** - Reports on inter-agency or cross-cutting reviews, guide for conducting external quality control reviews, and input on financial audit manual



President's Council on Integrity and Efficiency



■ Audit Committee (continued)

▼ Partners

- Federal Audit Executive Council
- Inspector General Auditor Training Institute
- Government Performance and Results Act Roundtable
- Information Technology Roundtable
- Various working groups



President's Council on Integrity and Efficiency



■ Inspection and Evaluation Committee

- ▼ **Purpose** - Share best practices and provide training to improve inspection and evaluation techniques
- ▼ **Products** - Interagency reports and quality standards for inspections
- ▼ **Partners** - Inspection Roundtable



President's Council on Integrity and Efficiency



■ Investigations Committee

- ▼ **Purpose** - Address cross-cutting investigative issues and share best practices among its community. Coordinate law enforcement training to ensure professionalism
- ▼ **Products** - Quality Standards for Investigations
- ▼ **Partners** - Investigation Advisory Subcommittee, IG Criminal Investigator Academy, and Federal Law Enforcement Training Center



President's Council on Integrity and Efficiency



Legislation Committee

- ▼ **Purpose** - Keep community abreast of congressional areas of interest, focusing on matters that could impact OIG statutory authority, duties, and organization
- ▼ **Products** - Legislative alerts, Official PCIE positions on proposed legislation and regulations
- ▼ **Partners** - Council of Counsels to the Inspector General



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■ Professional Development Committee

- ▼ **Purpose** - Provide educational opportunities for members and assist in developing competent personnel
- ▼ **Products** - Educational forums
- ▼ **Partners** - Federal training organizations



President's Council on Integrity and Efficiency




Integrity Committee

- ▼ **Purpose** - Provide an independent mechanism for ensuring that administrative allegations against IGs are appropriately and expeditiously investigated and resolved
- ▼ **Products** - Reports of Investigation submitted to the Chair of the PCIE for action
- ▼ **Members** - Federal Bureau of Investigation, Office of Special Council, and Office of Government Ethics



President's Council on Integrity and Efficiency



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- Links to Government-wide Councils
 - ▼ Chief Financial Officer Council
 - ▼ Chief Information Officer Council
 - ▼ Procurement Executive Council



President's Council on Integrity and Efficiency



■ Major Initiatives

- ▼ Financial Statements Auditing
- ▼ Debt Collection
- ▼ Computer Security
- ▼ Fraud Estimating Methodologies
- ▼ Law Enforcement Authority
- ▼ Strategic Plan

Strategic Plan



■ Purpose

- ▼ Articulates a vision for the IG community and its activities over a 5-year period
- ▼ Identifies a number of multi-agency projects
- ▼ Provides a framework for how the PCIE/ECIE will fulfill their responsibility and how the IG community sees itself as a whole



Strategic Plan



■ Vision Statement

- ▼ To be an effective and influential force in identifying vulnerabilities and facilitating government excellence
- ▼ To lead and promote integrity, accountability and excellence through effective coordination to prevent and detect waste, fraud and abuse



Strategic Plan



■ Mission Statement

- ▼ Independently anticipate and communicate weaknesses and vulnerabilities of the government
- ▼ Facilitate solutions
- ▼ Identify opportunities for improved performance



Strategic Plan



■ Themes

- ▼ Shaping good government
- ▼ Taking care of our people
- ▼ Enhancing the integrity, effectiveness and image of the IG community



Strategic Plan



■ Goals

1. ***Improve Federal Programs and Operations (Projects)***
2. ***Communicate reliable and timely information (Communication)***
3. ***Advocate and implement training programs (Human Resources)***
4. ***Advance the Effectiveness of the OIG Community (Operations)***

Strategic Plan



■ Benefits

- ▼ Identification of best practices across government agencies
- ▼ Increased awareness and understanding of IG's activities
- ▼ Improved relationships among IG and others through better communication and sharing of information



Questions?



PCIE Web Site: www.ignnet.gov